TRANSFER STAMPING AGREEMENT

concerning the maintenance of traceability of materials, parts and test specimens with manufacturer's certificate, intended for use in the manufacture and testing of products regulated under Pressure Equipment Device 97/23/EG and 2014/68/EU and AD 2000 Merkblatt W0

between

the company KINKELE GmbH & Co. KG
Rudolf-Diesel-Str. 1
Ochsenfurt, 97199

and

TÜV SÜD Industrie Service GmbH
Notified Body 0036
according to PED 97/23/EG and 2014/68/EU

1. Purpose and Scope of the Agreement

1.1 This agreement guarantees that, by taking appropriate measures, the company KINKELE GmbH & Co. KG will maintain traceability, e.g. by carrying out marking transfers to materials, parts and test specimens.

1.2 Materials used under this agreement must originate from manufacturers who have been audited according to the appropriate Technical Codes, i.e. AD 2000 W0 Par. 3.1.2, 3.3.1.

1.3 The transfer of identification markings of materials, parts or sub-assemblies carrying an "inspection certificate 3.2" according to EN 10204, is not included in this agreement. Small parts, however, are included.

1.4 The agreement is valid for materials used in the manufacture of pressure equipment, e.g. gas cylinders, pressure vessels, finished product and materials and product samples for testing under the German Pressure Vessel Ordinance or European Equipment Directive.

2. Requirements for stamping transfer

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<th>Created / revised:</th>
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<th>IS-ATA3-IUC</th>
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<td>25.06.2015</td>
<td>Georg Looca</td>
<td>Michael Dey</td>
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Certification Body
Material & Welding Technology
of TÜV SÜD Industrie Service GmbH
Transfer Stamping Agreement

The company KINKELE GmbH & Co. KG fulfills the following requirements:

2.1 The company has and maintains a organization, which fulfills requirements of AD 2000 HP0.

2.2 Storage arrangements exist and are in compliance with the appropriate material standards

2.3 The company names responsible employee(s) who has (have) the required knowledge of materials, material identification, marking and certification in accordance with the applicable technical codes and specifications.

2.4 The company stipulates a mark by which the company KINKELE GmbH & Co. KG and the responsible employee(s) (Annex 1), identifying themselves on all pieces or parts on which they perform the transfer of identification.

2.4.1 Materials intended for the manufacturing of regulated pressure equipment must be identified. Identification must be made individually for each piece or part and must occur before separation of the piece or part from the source stock. The piece or part must be uniquely identified to assure unbroken traceability to its origin. Such identification may consist of Manufacturer, appropriate Heat Number and, if applicable, other unique identification (e.g. Coil #, Slab #) or a Code selected to uniquely identify the source of the piece or part. The location and character of such marking must be so chosen as to maintain legibility throughout all processing steps.

2.4.2 Test specimens which are machined at the company’s plant in preparation for physical testing at an approved testing laboratory, must be individually identified by a responsible employee’s personal mark (Annex 1), before the specimen is removed from the source stock material. Each specimen must be uniquely identified with the identification of the source stock materials and an individual specimen number (e.g. Heat #, Coil #, part #, lot #, sample # 1).

2.4.3 The company will describe in writing the identification procedure within these guidelines and suggestions and most suitable for his specific purposes, and assure that each of the named responsible employees (Annex 1) follows the same procedure. The company will maintain an up-to-date copy of this procedure in the files of the company.

2.5 The company keeps records of all items of which the marking has been transferred, showing clearly all relevant aspects (material, dimensions, classification, old and new identification, associated material test certificates and the responsible employee).

2.6 The company KINKELE GmbH & Co. KG guarantees that the correct marking transfer at his and/or his sub-contractor’s plants, as may be applicable, can be checked yearly, more or less, by inspectors of Notified Body 0036 without prior notice.
At these visits the inspector of Notified Body 0036 may examine all required records and enter the plant(s) involved. The company agrees to accept all reasonable charges associated with such audits.

2.7 In accordance with the applicable laws and contractual conditions set forth herein, the company KINKELE GmbH & Co. KG accepts responsibility for the marking which has been transferred at his and/or his sub-contractors plants, as may be applicable, and guarantees that appropriate steps are taken to assure traceability of materials processed.

3. Approved Identification Transfer

3.1 With the first review on 07.07.2018 the Notified Body 0036 gives its consent that the company KINKELE GmbH & Co. KG may carry out stamping transfers in accordance with the conditions laid down in section 1.2. In this case the company KINKELE GmbH & Co. KG guarantees the fulfillment of the conditions is (sections 2.1 to 2.7) which this agreement is bound to.

3.2 In addition, the company KINKELE GmbH & Co. KG may transfer the stamping of materials with inspection certificate 3.2 according to EN 10204 for small parts according to the specifications in the Technical Rules (see e.g. pressure Equipment Directive 97/23 / EC, Annex I, section no 3.1.5 and AD 2000 HP 0, Section 4.1).

3.3 The persons listed in annex 1 of this agreement are named by the company KINKELE GmbH & Co. KG as responsible employees for the marking transfer of materials. The employees have been instructed in and are knowledgeable of their duties. They have in their possession the transfer stamping procedure mentioned under Par. 2.4.3 which they have read and understood. They certify to this effect by their signature.

4. Executing stamping transfer

4.1. All identification marking must be durable and legible, but must be applied in such a way as to retain the functionality and usefulness of the part to which it is applied. The transfer stamping of products with material test certificates is to be carried out before separation or processing.

4.2. A metal stamp must be used according to the technical rules. Certain material conditions may preclude the application of metal stamping. In those cases, vibro-etching or rubber stamping using indelible ink may be substituted or, if this is impractical, properly executed tags and labels for individual parts or containers of a number of parts may be used.

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Transfer Stamping Agreement

4.3 The designated responsible company employees must complete the transferred stamping by applying the stamp as defined in Par. 3.3 instead of the manufacturers mark.

5. Executing Certifications
The technical code requirements are applicable for the issuing of certificates. A Transfer Stamping Certificate (enclosed) must be furnished by the company to the authorized inspector issuing the certificate or report with reference to the material to be certified.

The company assures that, by transfer of identification, the product can be identified and traced at any time and through every processing step to the original verification of the base material.

6. Costs
The cost of the initial verification and periodic inspections by the Notified Body 0036 must be paid by the company KINKELE GmbH & Co. KG.

7. Withdrawal of Approval
The approval of the marking transfer can be withdrawn at any time by the Notified Body 0036, if the stipulations of this agreement are no longer met.

8 Acknowledgment
The undersigned confirm that the requirements set forth herein are fulfilled. The company undertakes to observe the stipulations of this agreement.

Ochsenfurt, 10.07.2018.

Andreas Morf EWE
(Signature, Name, Stamp of company)

Würzburg, 10.07.2018

TÜV SÜD Industrie Service GmbH
Notified Body No. 0036

Annex 1: Overview about responsible employees for the marking transfer

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**Certification Body**

**Material & Welding Technology**

of TÜV SÜD Industrie Service GmbH

**Annex 1: Overview about responsible employees for the marking transfer**

<table>
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<tr>
<th>No.</th>
<th>Named personal staff of company KINKELE GmbH &amp; Co. KG in regard to transfer of stamping</th>
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<tr>
<td>1</td>
<td>Frank SCHENKE</td>
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<td>2</td>
<td>Jochen WALKER</td>
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<tr>
<td>3</td>
<td>Bertram PLAGENS</td>
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<td>Florian Gümpeltein</td>
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<td>5</td>
<td>Matthias Winkler</td>
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Bescheinigung über die wiederkehrende Prüfung der ordnungsgemäßen Umstempelung

Ordnungsprüfung, Technische Prüfung

KINKELE GmbH & Co. KG
Maschinen-Apparate-Stahlbau

Rudolf-Diesel-Str. 1
97199 Ochsenfurt

Standort:
KINKELE GmbH & Co. KG
Maschinen-Apparate-Stahlbau
Rudolf-Diesel-Str. 1
97199 Ochsenfurt

TUŚ Equipment: 1892919
Passwort Netinform: 
Besch.Ident-Nr.: 1892919-129-UMSW-20190328
Zur Umstempelung berechtigte Personen:
Frank Schenke
Jochen Walker
Bertram Plagens
Florent Gunpelein
Mathias Winkler

Vereinbarung vom: 10.07.2018

Prüfgrundlage:
Vereinbarung über die Umstempelung von Werkstoffen und Erzeugnissen für überwachungsbedürftige Anlagen nach AD 2000 Merkblatt HP0 zwischen dem o.g. Hersteller / Weiterverarbeiter und der TÜV SÜD Industrie Service GmbH.

Die Prüfung konnte mängelfrei abgeschlossen werden

Prüfungsfang:
Die Prüfung wurde stichprobenweise durchgeführt und erstreckte sich auf folgende Punkte:
- Geeignete Betriebsorganisation
- Übersichtliche Lagerung
- Kenntnisse der Umstempelungsberechtigten
- Betriebsaufzeichnungen über die umgestempelten Teile
- Sachgemäßes Umstempeln vor dem Trennen oder Bearbeiten der Teile
- Ausstellen von Umstempelungsbeweiszeugnissen - soweit erforderlich

Bemerkungen:
A) Es wird hiermit bescheinigt, daß die Prüfung zu keinen wesentlichen Beanstandungen Anlass gegeben hat.
B) Zur Prüfung waren die Umstempelungsberechtigten (siehe oben) anwesend.
C) Die Umstempelungsberechtigung gilt für Werkstoffe, die mit Werkbescheinigung oder Abnahmeprüfzeugnis 3.1 bzw. 3.1.B gemäß EN 10204 belegt sind.

Die nächste wiederkehrende Prüfung ist im März 2020 fällig.
Prüfung abgeschlossen am: 28.03.2019

Sachverständige(r):
Jochen Ettich